

HTK Tutorial

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Introduction

Data formats and manipulation

Data visualization

Training

Recognition

HTK, What is it?

- ▶ A toolkit for Hidden Markov Modeling

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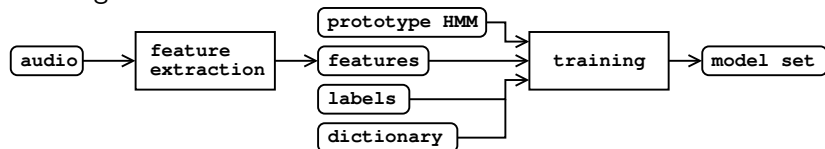
- ▶ A toolkit for Hidden Markov Modeling
- ▶ General purpose, but...
- ▶ ...optimized for Speech Recognition
- ▶ Very flexible and complete (active development)

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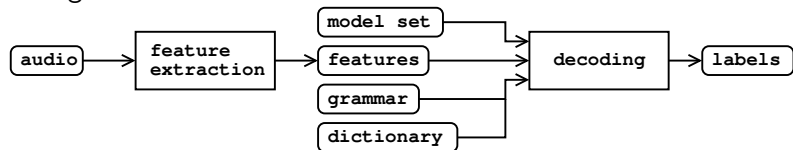
- ▶ A toolkit for Hidden Markov Modeling
- ▶ General purpose, but...
- ▶ ...optimized for Speech Recognition
- ▶ Very flexible and complete (active development)
- ▶ Very good documentation (HTKBook)

ASR Overview

Training



Recognition



Things that you should have before you start

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- ▶ a look at the **RefRec** scripts

The HTK tools

- ▶ data manipulation tools:

HCopy HQuant HLEd HHEd HDMan HBuild

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HCompV HInit HRest HERest HEAdapt HSmooth

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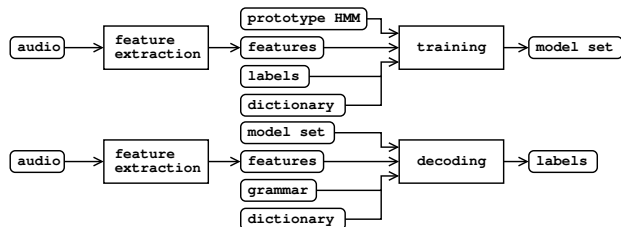
- ▶ recognition tools:

HLStats HParse HVite HResults

The HTK data formats

data formats:

audio:	many common formats plus HTK	binary
features:	HTK	binary
labels:	HTK (single or <i>Master Label</i> files)	text
models:	HTK (single or <i>Master Macro</i> files)	text or binary
other:	HTK	text



Usage example (HList)

> HList

USAGE: HList [options] file ...

Option		Default
-d	Coerce observation to VQ symbols	off
-e N	End at sample N	0
-h	Print source header info	off
-i N	Set items per line to N	10
-n N	Set num streams to N	1
-o	Print observation structure	off
-p	Playback audio	off
-r	Write raw output	off
-s N	Start at sample N	0
-t	Print target header info	off
-z	Suppress printing data	on
-A	Print command line arguments	off
-C cf	Set config file to cf	default
-D	Display configuration variables	off
...		

Command line switches and options

```
> HList -e 1 -o -h feature_file
```

```
Source: feature_file
```

```
Sample Bytes: 26      Sample Kind: MFCC_0
Num Comps:    13      Sample Period: 10000.0 us
Num Samples:  336     File Format:   HTK
```

```
----- Observation Structure -----
x:      MFCC-1 MFCC-2 MFCC-3 MFCC-4 MFCC-5 MFCC-6 MFCC-7
        MFCC-8 MFCC-9 MFCC-10 MFCC-11 MFCC-12      C0
----- Samples: 0->1 -----
0:      -14.314 -3.318 -6.263 -7.245  7.192  4.997  0.830
        3.293  5.428  6.831  5.819  5.606 40.734
1:      -13.591 -4.756 -6.037 -3.362  3.541  3.510  2.867
        0.812  0.630  5.285  1.054  8.375 40.778
----- END -----
```

Configuration file

```
> cat config_file
```

```
SOURCEKIND = MFCC_0  
TARGETKIND = MFCC_0_D_A
```

```
> HList -C config_file -e 0 -o -h feature_file
```

```
Source: feature_file  
Sample Bytes: 26      Sample Kind: MFCC_0  
Num Comps: 13      Sample Period: 10000.0 us  
Num Samples: 336    File Format: HTK  
----- Observation Structure -----  
x: MFCC-1 MFCC-2 MFCC-3 MFCC-4 MFCC-5 MFCC-6 MFCC-7  
MFCC-8 MFCC-9 MFCC-10 MFCC-11 MFCC-12 C0 Del-1  
Del-2 Del-3 Del-4 Del-5 Del-6 Del-7 Del-8  
Del-9 Del-10 Del-11 Del-12 DelC0 Acc-1 Acc-2  
Acc-3 Acc-4 Acc-5 Acc-6 Acc-7 Acc-8 Acc-9  
Acc-10 Acc-11 Acc-12 AccC0  
----- Samples: 0->1 -----  
0: -14.314 -3.318 -6.263 -7.245 7.192 4.997 0.830  
3.293 5.428 6.831 5.819 5.606 40.734 -0.107  
-0.180 0.731 1.134 -0.723 -0.676 1.083 -0.552  
-0.387 -0.592 -2.172 -0.030 -0.170 0.236 0.170  
-0.241 -0.226 -0.517 -0.244 -0.053 0.213 -0.029  
0.097 0.225 -0.294 0.051  
----- END -----
```

File manipulation tools

- ▶ HCopy: converts from/to various data formats (audio, **features**).
- ▶ HQuant: quantizes speech (audio).
- ▶ HLEd: edits label and **master label files**.
- ▶ HDMan: edits **dictionary files**.
- ▶ HHEd: edits model and **master macro files**.
- ▶ HBuild: converts language models in different formats (more in recognition section).

Computing feature files (HCopy)

```
> cat config_file
```

```
# Feature configuration
TARGETKIND = MFCC_0
TARGETRATE = 100000.0
SAVECOMPRESSED = T
SAVEWITHCRC = T
WINDOWSIZE = 250000.0
USEHAMMING = T
PREEMPCOEF = 0.97
NUMCHANS = 26
CEPLIFTER = 22
NUMCEPS = 12
ENORMALISE = F
# input file format (headerless 8 kHz 16 bit linear PCM)
SOURCEKIND = WAVEFORM
SOURCEFORMAT = NOHEAD
SOURCERATE = 1250
```

```
> HCopy -C config_file audio_file1 param_file1 audio_file2 ...
```

```
> HCopy -C config_file -S file_list
```

Label files

```
#!MLF!#  
"filename1"  
  [start1 [end1]]    label1 [score]    {auxlabel [auxscore]}    [comment]  
  [start2 [end2]]    label2 [score]    {auxlabel [auxscore]}    [comment]  
  ...  
  [startN [endN]]    labelN [score]    {auxlabel [auxscore]}    [comment]  
.  
"filename2"  
  ...  
.
```

- ▶ [.] = optional (0 or 1);
- ▶ {.} = possible repetition (0, 1, 2...)
- ▶ time stamps are in 100ns units (!?): 10ms = 100.000

Label file example 1

```
> cat aligned.mlf
```

```
#!MLF!#  
"*/a10001a1.rec"  
    0 6400000 sil <sil>  
 6400000 8600000 f  förra  
 8600000 10400000 oe  
10400000 11700000 r  
11700000 14100000 a  
14100000 14100000 sp  
14100000 29800001 sil <sil>  
.  
"*/a10001i1.rec"  
    0 2600000 sil <sil>  
 2600000 4900000 S  sju  
 4900000 8300000 uh:  
 8300000 8600000 a  
 8600000 8600000 sp  
 8600000 21600000 sil <sil>
```

Label file example 2 (HLEd)

```
> HLEd -l '*' -d lex.dic -i phones.mlf words2phones.led words.mlf
```

```
> cat words.mlf
```

```
#!MLF!#  
"/a10001a1.rec"  
förra  
.  
"/a10001i1.rec"  
sju  
.
```

```
> cat words2phones.led
```

```
EX  
IS sil sil
```

```
> cat phones.mlf
```

```
#!MLF!#  
"/a10001a1.rec"  
sil  
f  
oe  
r  
a  
sp  
sil  
.  
"/a10001i1.rec"  
sil  
S  
uh:  
a  
sp  
sil  
.
```

Dictionary (HDMan)

WORD [OUTSYM] PRONPROB P1 P2 P3 P4 ...

> cat lex.dic

```
förra    f oe r a sp
sju      S uh: a sp
```

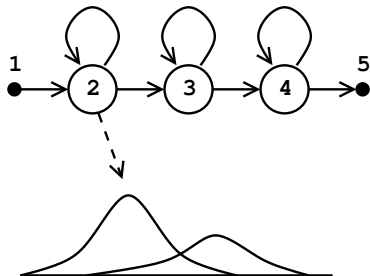
> cat lex2.dic

```
<sil>   [] sil
förra   f oe r a sp
sju     0.3 S uh: a sp
sju     0.7 S uh: sp
```

HMM definition files (HHEd)

```
~h "hmm_name"  
<BEGINHMM>  
<NUMSTATES> 5  
<STATE> 2  
<NUMMIXES> 2  
<MIXTURE> 1 0.8  
<MEAN> 4  
  0.1 0.0 0.7 0.3  
<VARIANCE> 4  
  0.2 0.1 0.1 0.1  
<MIXTURE> 2 0.2  
<MEAN> 4  
  0.2 0.3 0.4 0.0  
<VARIANCE> 4  
  0.1 0.1 0.1 0.2  
<STATE> 3  
  ~s "state_name"  
<STATE> 4  
<NUMMIXES> 2  
<MIXTURE> 1 0.7  
  ~m "mix_name"  
<MIXTURE> 2 0.3  
<MEAN> 4  
  ~u "mean_name"  
<VARIANCE> 4  
  ~v "variance_name"  
<TRANSP>  
  ~t "transition_name"  
<ENDHMM>
```

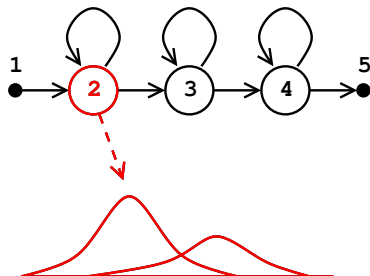
HMM definition (~h)



HMM definition files (HHEd)

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<BEGINHMM>  
<NUMSTATES> 5  
<STATE> 2  
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  <MEAN> 4  
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  <VARIANCE> 4  
    0.2 0.1 0.1 0.1  
  <MIXTURE> 2 0.2  
  <MEAN> 4  
    0.2 0.3 0.4 0.0  
  <VARIANCE> 4  
    0.1 0.1 0.1 0.2  
<STATE> 3  
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<STATE> 4  
  <NUMMIXES> 2  
  <MIXTURE> 1 0.7  
  ~m "mix_name"  
  <MIXTURE> 2 0.3  
  <MEAN> 4  
  ~u "mean_name"  
  <VARIANCE> 4  
  ~v "variance_name"  
<TRANSP>  
  ~t "transition_name"  
<ENDHMM>
```

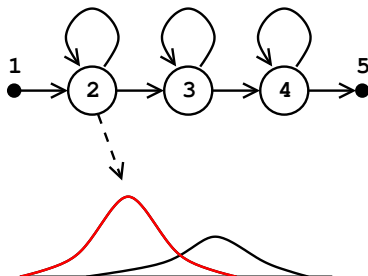
State definition (~s)



HMM definition files (HHEd)

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<STATE> 2  
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<MEAN> 4  
  0.1 0.0 0.7 0.3  
<VARIANCE> 4  
  0.2 0.1 0.1 0.1  
<MIXTURE> 2 0.2  
<MEAN> 4  
  0.2 0.3 0.4 0.0  
<VARIANCE> 4  
  0.1 0.1 0.1 0.2  
<STATE> 3  
  ~s "state_name"  
<STATE> 4  
<NUMMIXES> 2  
<MIXTURE> 1 0.7  
  ~m "mix_name"  
<MIXTURE> 2 0.3  
<MEAN> 4  
  ~u "mean_name"  
<VARIANCE> 4  
  ~v "variance_name"  
<TRANSP>  
  ~t "transition_name"  
<ENDHMM>
```

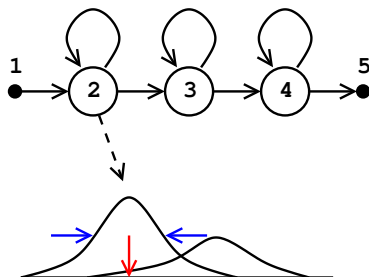
Gaussian mixture component definition (~m)



HMM definition files (HHEd)

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<BEGINHMM>  
<NUMSTATES> 5  
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<NUMMIXES> 2  
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<MEAN> 4  
  0.1 0.0 0.7 0.3  
<VARIANCE> 4  
  0.2 0.1 0.1 0.1  
<MIXTURE> 2 0.2  
<MEAN> 4  
  0.2 0.3 0.4 0.0  
<VARIANCE> 4  
  0.1 0.1 0.1 0.2  
<STATE> 3  
  ~s "state_name"  
<STATE> 4  
<NUMMIXES> 2  
<MIXTURE> 1 0.7  
  ~m "mix_name"  
<MIXTURE> 2 0.3  
<MEAN> 4  
  ~u "mean_name"  
<VARIANCE> 4  
  ~v "variance_name"  
<TRANSP>  
  ~t "transition_name"  
<ENDHMM>
```

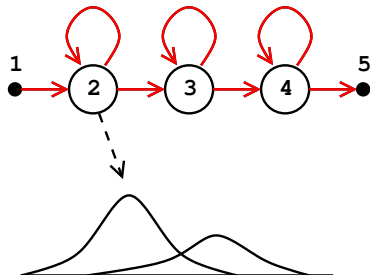
Mean vector definition (~u)
Diagonal variance vector definition (~v)



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<STATE> 2  
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<ENDHMM>
```

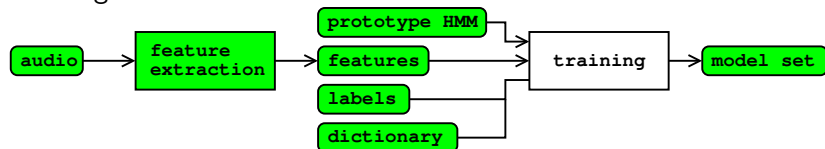
Transition matrix definition (~t)



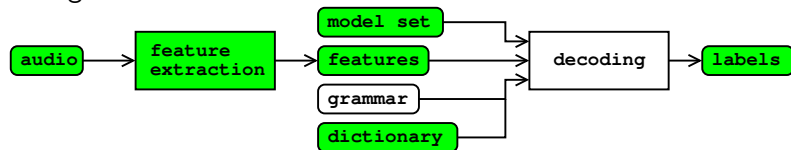
- ▶ HSLab: graphical tool to label speech (use WaveSurfer instead).
- ▶ HList: gives information about audio and feature files.
- ▶ HSGen: generates random sentences out of a regular grammar.

Intermezzo: what do we know so far?

Training



Recognition



model initialization

Initialization procedure depends on the information available at that time.

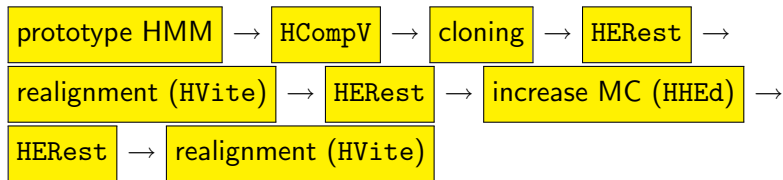
- ▶ HCompV: computes the overall mean and variance.
Input: a prototype HMM.
- ▶ HInit: Viterbi segmentation + parameter estimation. For mixture distribution uses K-means.
Input: a prototype HMM, time aligned transcriptions.

Training tools

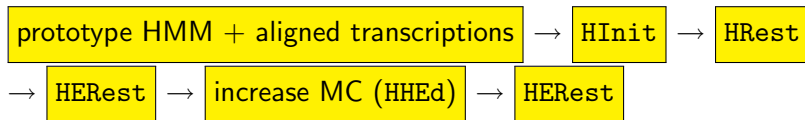
- ▶ **HRest**: Baum-Welch re-estimation.
Input: an initialized model set, time aligned transcriptions.
- ▶ **HERest**: performs *embedded* Baum-Welch training.
Input: an initialized model set, timeless transcriptions.
- ▶ **HEAdapt**: performs adaptation on a limited set of data.
- ▶ **HSmooth**: smoots a set of context-dependent models according to the context-independent counterpart.

Training example: RefRec

first pass:



second pass:



Recognition tools

grammar generation

- ▶ HLStats: creates bigram from training data.
- ▶ HParse: parses a user defined grammar to produce a *lattice*.

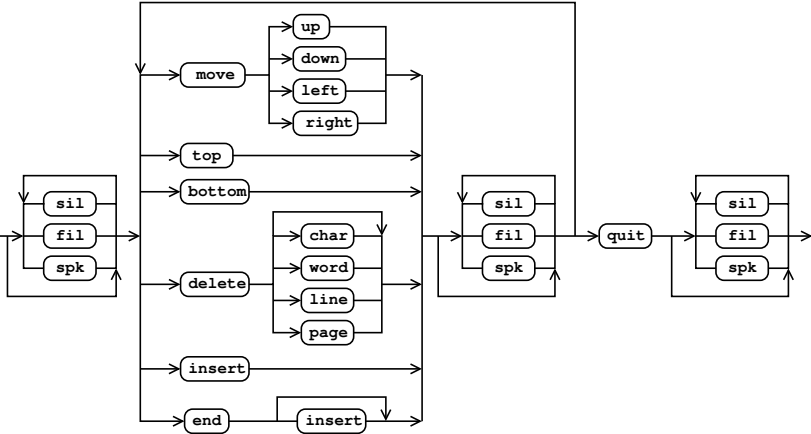
decoding

- ▶ HVite: performs Viterbi decoding.

evaluation

- ▶ HResults: evaluates recognition results.

Grammar definition (HParse)



Grammar definition (HParse)

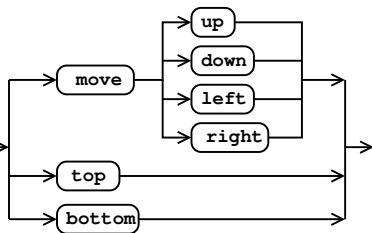
```
> cat grammar.bnf
$dir = up | down | left | right;
$mcmd = move $dir | top | bottom;
$item = char | word | line | page;
$dcmd = delete [$item];
$icmd = insert;
$ecmd = end [insert];
$cmd = $mcmd | $dcmd | $icmd | $ecmd;
$noise = sil | fil | spk;
({$noise} < $cmd $noise > quit {$noise})
```

- ▶ [.] optional
- ▶ {..} zero or more
- ▶ (.) block
- ▶ <.> loop
- ▶ <<.>> context dep. loop
- ▶ .|. alternative

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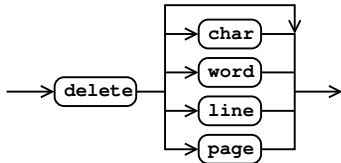
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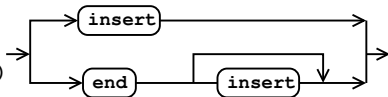
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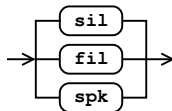


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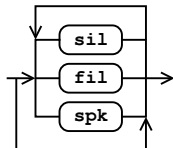
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Grammar parsing (HParse) and recognition (HVite)

Parse grammar

```
> HParse grammar.bnf grammar.slf
```

Run recognition on file(s)

```
> HVite -C offline.cfg -H mono_32_2.mmf -w grammar.slf  
-y lab dict.txt phones.lis audio_file.wav
```

Run recognition live

```
> HVite -C live.cfg -H mono_32_2.mmf -w grammar.slf  
-y lab dict.txt phones.lis
```

Evaluation (HResults)

```
> HResults -I reference.mlf ... word.lst recognized.mlf
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===== HTK Results Analysis =====  
Date: Thu Jan 18 16:17:53 2001  
Ref : nworkdir_train/testset.mlf  
Rec : nresults_train/mono_32_2/rec.mlf  
----- Overall Results -----  
SENT: %Correct=74.07 [H=994, S=348, N=1342]  
WORD: %Corr=94.69, Acc=94.37 [H=9202, D=196, S=320, I=31, N=9718]  
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```

N = total number, I = insertions, S = substitutions, D = deletions

correct: $H = N - S - D$

%correct: $\%Corr = H/N$

accuracy: $Acc = \frac{H-I}{N} = \frac{N-S-D-I}{N}$