



## Speaker Recognition and Verification

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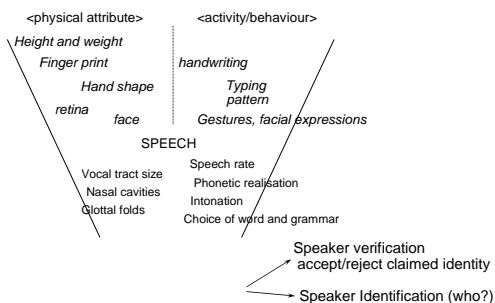
## Person identification

- Methods rely on
  - Something you **possess**
    - (E.g. key, magnetic card)
  - Something you **know**
    - (PIN-code)
  - Something you **are**
    - (physical attributes, behaviour: biometrics)

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## Biometric identification features



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## Verification / Identification

- Speaker verification
  - The claimed identity is verified by voice
  - Binary decision: "accept or reject?", "true customer or impostor?"
  - The performance is independent of the number of registered users
- Speaker identification
  - Choose 1 of N: "Who is the speaker?"
    - Closed set: The utterance is known to come from the N trained speakers
    - Open set: The utterance may be spoken by persons outside N
  - The performance decreases with increased number of identities

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## Advantages/problems with speaker verification

- + Speech is natural
- + Simple to record, non-obtrusive
- + In many applications, speech may already be used for other purposes
  - + Low extra cost if the application already uses speech recognition
- + Not 100% security, but
  - That's the case for other techniques as well
  - Can be combined with other methods
  - Makes it less worthwhile for organised crime
  - Deterrent effect
- Large variability for a speaker at different occasions
  - Behaviour
  - Different microphones or microphone positions
  - Physical and mental condition
- Speech recognition problems

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## Application examples

- Telecommunication
  - Bank services, also complementary to manual methods
  - Credit cards
  - Information access by phone
  - Telephone call charging
- On-site
  - Entrance control
  - Authorisation
  - Home incarceration (large in USA)
- Crime investigation
  - Objective automatic techniques
- Speaker tracking
  - Find the intervals during a conversation when a certain person is speaking
  - E.g. during telephone conversation and in radio and TV

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## Text dependence

- SV systems have varying requirements on what the user should say
  - Fixed password
    - Highest text dependence
  - User specific password
    - Limited vocabulary
    - E.g. digits
  - The system presents the text to be spoken
    - Text-prompted
    - combination of speaker and text verification
    - Prevents playback of recorded speech
  - Any word sequence is allowed

↓  
Decreasing text dependence      Text independent

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## Voice characteristics vary with time

### Variability within one speaker

Acoustic variation among identical utterances as a function of the duration of the recordings. Average for nine male speakers. (Furu, 1986).

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## Same or different analysis as in speech recognition?

- SPEECH recognition should be SPEAKER independent
  - Should extract phonetic information but not speaker information
- SPEAKER recognition should be SPEECH independent
  - Should extract speaker information but not speech content
- This suggests that the optimal acoustic features are different between speech and speaker recognition
- However, experiments have shown that the best SPEECH representation is at the same time one of the best SPEAKER representations
- Why? Maybe the optimal representation contains both SPEECH and SPEAKER information

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## Modelling techniques

- HMM
  - Text-dependent systems
  - The state sequence represents allowed utterances
- GMM (Gaussian Mixture Models)
  - Text-independent systems
  - Single-state HMM with large number of Gaussian mixture components (~ 1000) representing any utterance by the speaker
  - Sequential information is not used
- Combined GMM + HMM systems

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## Two phases in speaker verification

**Registration (training, enrolment)**

Training utterances from a new client → Spectral analysis → Train model → Trained speaker model (represented by a circle with a plus sign)

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**Verification**

Access utterance → Spectral analysis → Matching → Accept / Reject

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Claimed identity

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## Probabilistic decision criterion

- Bayes decision theory
  - The ratio between the probability scores of a client and an anti-client model is compared with a decision threshold

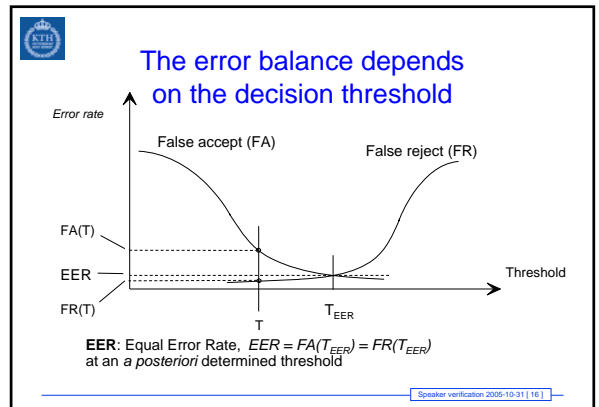
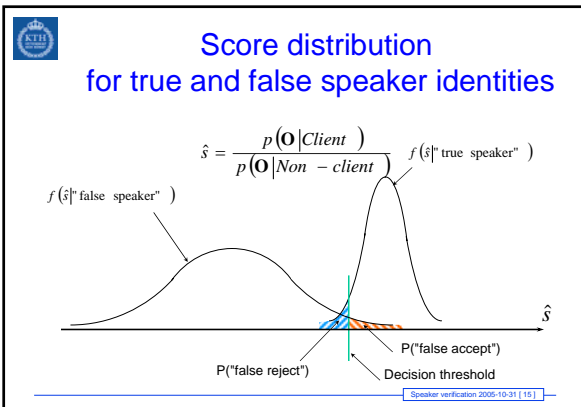
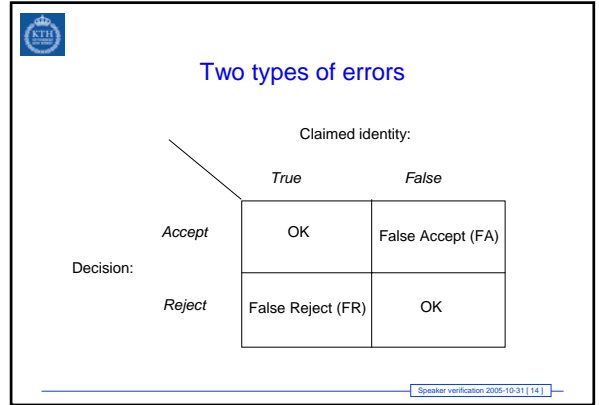
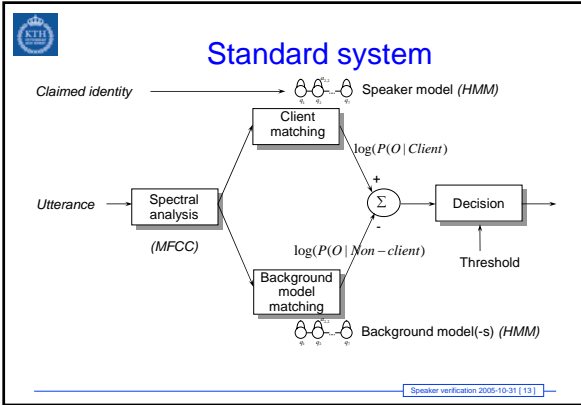
If  $\frac{P(\text{The client sounds like this})}{P(\text{Anybody could sound like this})} > R$  Then accept, else reject

$$\frac{P(O | \theta_c)}{P(O | \theta_T)} \geq R$$

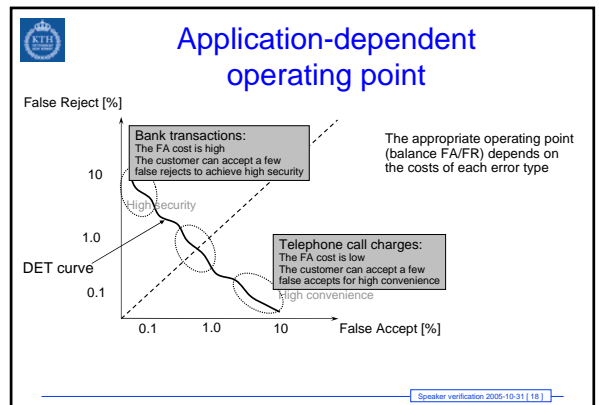
O: utterance  
 $\theta_c$ : client C's model

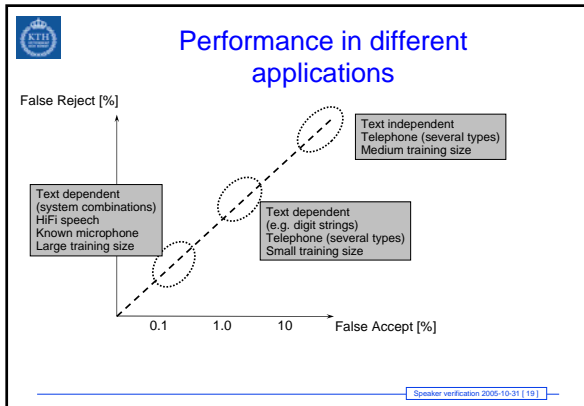
The threshold R can be adjusted for  
Required balance between errors,  
Minimum total error  
Minimum error cost

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- ### Performance measures
- False Rejection rate (FR)
    - FR = (Nbr false reject utterances) / (Nbr true ID attempts)
  - False Acceptance rate (FA)
    - FA = (Nbr false accept utterances) / (Nbr impostor attempts)
  - Half Total Error Rate (HTER)
    - HTER = (FR + FA) / 2
  - Equal Error Rate (EER)
    - EER = FR = FA at an a posteriori determined threshold
    - Well defined measure, but cannot be selected in practice
  - Detection Error Trade-off (DET)
    - Exhibits FR and FA at different thresholds
    - Similar to "Receiver Operating Characteristics" (ROC)
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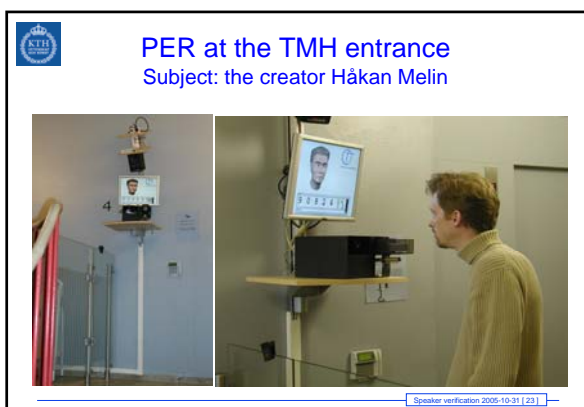




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- ## Security aspects
- Performance is measured using casual impostors
  - What is the immunity against real impostor attempts?
    - Imitations? Recordings? "Personal" speech synthesis?
  - The security of conventional systems can be raised by combination with voice
    - E.g. protection if credit card + PIN code is stolen
  - Preventive effect by
    - Recordings can be saved for later manual control
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- ## User aspects
- As little training as possible, preferably nothing
    - The speaker's variability cannot be measured
  - Speaker verification should simplify for the user, preferably transparent
  - Door guard or warning bell?
  - What balance FA / FR?
    - Depends on the security demands and the costs
    - True clients should not be disturbed
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- ## The CTT project PER (Prototype Entrance Receptionist)
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- Visually detects the presence of a person at the TMH entrance
  - Identifies personnel using speaker verification and unlocks the gate
    - Say your name and a prompted digit sequence
    - Animated talking face
  - Combined HMM and GMM system
    - Comparable performance with commercial system
  - In practical use since 1998
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- ## Summary
- Speaker verification useful today in certain applications
  - Can be combined with other methods to increase security
  - User aspects have to be taken into account
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